

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles CELLA, Edward J. KELLY, Matthew P. VINCENT

Title: CONTINGENCY-BASED OPTIONS AND FUTURES FOR
EVENT TICKETS AND RELATED GOODS AND SERVICES

Serial No.: 09/586,723

Filed: June 5, 2000

Art Unit: 3624

Examiner: Hani M. Kazimi

Confirmation No.: 7896

Customer No.: 34313

Via: USPTO EFS Web
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

This is in response to the Examiner's Action dated May 1, 2006, which Action was made final. A listing of the pending claims as amended begins on page 2. Remarks in response to the Examiner's rejections begin on page 5.